

Brochure Supplement (Form ADV 2B) for:

## **Christopher Michael Fuller**

111 Veterans Blvd.
Suite 1506
Metairie, LA 70005
Phone: 504-835-1707
Chris.Fuller@RaymondJames.com

October 20, 2015

This brochure supplement, Form ADV Part 2B, provides information about the above named investment adviser representative (hereinafter "your representative") that supplements the Raymond James Financial Services Advisors, Inc. brochure, Form ADV Part 2A. Please contact your representative if you did not receive a copy of the Raymond James Financial Services Advisors, Inc. Form ADV Part 2A or if you have questions on the contents of this brochure supplement. Additional information about your representative is available on the SEC's website at www.adviserinfo.sec.gov.

880 Carillon Parkway // St. Petersburg, FL 33716 // T 800.248.8863 // raymondjames.com

ADV Part 2B 1|Page

#### **EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE**

Name of Representative: Christopher Michael Fuller

Year of Birth: 1976

## **Education and Training**

University of New Orleans, Bachelors Degree, Business Administration, 2002

University of New Orleans, Bachelors Degree, Business Management, 2002

University of Georgia, Executive Program for Financial Planning, 2009

Series 7, General Securities Representative Examination

### **Certified Financial Planner (CFP®)**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: a) complete an advanced college-level course of study addressing the financial planning subject areas including insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning; b) pass the comprehensive CFP® Certification Examination (10 hours over a two-day period); c) complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); d) agree to be bound by CFP Board's Standards of Professional Conduct; e) complete 30 hours of continuing education hours every two years; and f) renew an agreement to be bound by the Standards of Professional Conduct.

# Previous 5 Years of Business Experience

Financial Network (Junior Associate/Registered Rep.) 04/01/2005 - 11/01/2007, Raymond James Financial Services, Inc (Financial Advisor) 11/01/2007 - Present, Raymond James Financial Services Advisors, Inc. (Investment Adviser Rep) 08/01/2011 - Present

# DISCIPLINARY AND OTHER INFORMATION

Your investment adviser has no material disciplinary events to disclose.

# OTHER BUSINESS ACTIVITIES

ADV Part 2B 2|Page

I am a Registered Representative of Raymond James Financial Services, Inc., and may be paid fees and/or commissions on securities transactions. All commissions are disclosed to clients.

If you act upon my advice and choose to use one of RJFSA's affiliates as a money manager, custodian or to purchase securities or insurance, I may receive compensation in the form of commissions from the affiliate. Additionally, if you purchase a mutual fund containing a 12b-1 fee, I may receive such fee. As part of its fiduciary duties to clients, RJFSA endeavors at all times to put the interests of its investment advisory clients first. Clients should be aware, however, that the receipt of economic benefits by RJFSA and me in and of itself creates a potential conflict of interest.

I have insurance company affiliations through a related firm, Raymond James Insurance Group, Inc., an affiliate of RJFSA from which I receive commissions. Clients are under no obligation to execute recommendations relating to insurance and/or annuity products through Raymond James Insurance Group, Inc. If you choose to use me in my individual capacity as an insurance agent, I will receive a commission.

I have other insurance company affiliations from which I receive commissions. Clients are under no obligation to execute recommendations relating to insurance and/or annuity products through the outside insurance affiliations. If you choose to use me in my individual capacity as an insurance agent, I will receive a commission. The insurance companies I do business with are:

**Insurance Designers** 

#### **Additional Business Activities**

Non-variable Insurance (Agent)

Financial Consulting Group, Inc. (Owner)

#### ADDITIONAL COMPENSATION

In addition to the fee based compensation this adviser receives for providing advisory services, the adviser may earn commissions for transactional business in accordance with Raymond James Financial Services, Inc.'s published commission schedule. Also, at the conclusion of each year, certain qualifying advisers are awarded membership in the Raymond James Financial Services, Inc.'s recognition councils. Qualification for recognition councils is based upon a combination of the adviser's annual production (both advisory and transactional), total client assets under administration, and the professional certifications acquired through educational programs.

### **SUPERVISION**

The individual responsible for monitoring Christopher Fuller's advisory activities is Charles Raymond Miller, Branch Manager. Charles Raymond Miller reviews the investment activities of Christopher Fuller. Charles Raymond Miller may be reached at 504-835-1707.

ADV Part 2B 3|Page